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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KAWAHARA, Yasuyuki et al.**

Group Art Unit: **1625**

Serial No.: **10/019,287**

Examiner: **Taylor V. Oh**

Filed: **January 2, 2002**

**P.T.O. Confirmation No.: 1458**

**FOR: DICARBOXYLIC DIESTER, PROCESS FOR PRODUCING THE SAME, AND  
REFRIGERATING MACHINE LUBRICATING OIL COMPRISING THE ESTER**

**REQUEST FOR RETURN OF PTO FORM 1449**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


July 6, 2007

Sir:

Applicants respectfully request that the Examiner initial and return the PTO Form 1449 for the Information Disclosure Statements ("IDS's") filed on March 19, 2002 and September 24, 2004. Attached herewith are copies of the IDS's as filed, along with a copy of the date-stamped postcard for each IDS.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

  
Daniel A. Geselowitz, Ph.D.  
Agent for Applicant  
Reg. No. 42,573

DAG/ak  
Atty. Docket No. **011731**  
Suite 400  
1420 K Street, N.W.  
Washington, D.C. 20005  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE



CARD NO: 11146

U.S. Patent Application      Docket No: 011731  
Serial No: 10/019,287      Filed: 01/02/02  
Patent Number:      Issued:  
Applicant(s): KAWAHARA, Yasuyuki et al.

Papers filed herewith on: 09/24/04

Other: IDS; PTO-1449 w/ 1Ref.; Chinese OA dated 6/18/04;

Deposit Act: Authorization for \$180.00 IDS filing Fee



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

JAM/XL



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**FOR: DICARBOXYLIC DIESTER, PROCESS FOR PRODUCING THE SAME, AND  
REFRIGERATING MACHINE LUBRICATING OIL COMPRISING THE ESTER**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 24, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

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A copy of a Chinese Office Action along with English Translation dated June 18, 2004 is also enclosed herewith.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

The Commissioner is hereby authorized to charge \$180.00 to our Deposit Account No. 01-2340 which covers the \$ 180.00 fee set forth in 37 CFR 1.17(p).

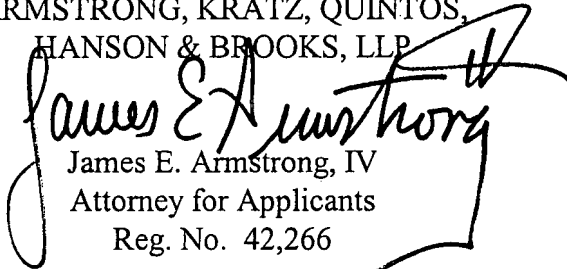
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a)

concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this statement to our Deposit Account No. 01-2340.

Respectfully submitted,

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23850

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (1)

Chinese Office Action along with English Translation dated June 18, 2004



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 011731	Serial No. 10/019,287
	Applicant(s): KAWAHARA, Yasuyuki et al.	
	Filing Date: January 2, 2002	Group Art Unit: 1625

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA						
_____	AB						
_____	AC						
_____	AD						
_____	AE						

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AF	9-221690	08/26/97	Japan	Yes-Abstract only
_____	AG				
_____	AH				
_____	AI				
_____	AJ				

### OTHER DOCUMENTS

_____	AK	Chines Office Action along with English Translation dated June 18, 2004		
_____	AL			
<table border="1"> <tr> <td>Examiner</td> <td>Date Considered</td> </tr> </table>			Examiner	Date Considered
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